



# Creating an Informed System of Care: Taking it to the Next Level

April 20, 2015

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Center for Mental Health Services  
Substance Abuse and Mental Health Services Administration  
Rockville, MD



# Behavioral Health is Essential To Health



Prevention Works



Treatment is Effective



People Recover

# SAMHSA'S STRATEGIC INITIATIVES

1. Prevention of Substance Abuse and Mental Illness

2. Trauma and Justice

3. Health Care and Health Systems Integration

4. Recovery Support

5. Health Information Technology

6. Workforce Development

# Key Areas of Focus

**Did you know?**

**Sustainable systems of care**

**SAMHSA-CMS Bulletin**

**High-Fidelity Wraparound**

**Family peer support**

**Youth engagement**

**Early onset psychosis**

**Now is the Time**



# Did you know?



- It is estimated that 20% of children and adolescents have a diagnosable mental, emotional, or behavioral disorder, and this costs the public \$247 billion annually.
- 1 in 10 adolescents had a Major Depressive Episode in the past year. 1 in 5 young adults aged 18 to 25 (18.7%) had any mental illness in the past year and 3.9% had a serious mental illness.
- At least 1 in 10 people has a SED at some time in their life.
- In 2010, suicide was the 2nd leading cause of death among youth ages 12-17.
- The life-time and current prevalence of anxiety disorders among children aged 3-17 years is 4.7% and 3%, respectively.
- ADHD occurs in about 8.4% of children ages 3-17.

# Did you know?

- 7.5% of all children aged 6-17 years used prescribed medication during the past 6 months for emotional or behavioral difficulties.
- 40.4% of youth ages 16-25 receiving mental health outpatient care use psychotropic medication, the second most frequently accessed service.
- Childhood trauma is prevalent: 39% of 12 - 17 year olds reported witnessing violence, 17% reported being a victim of physical assault and 8% reported being the victim of sexual assault.


















# Adverse Childhood Experiences (ACEs) & Childhood Trauma

## WHAT IMPACT DO ACEs HAVE?

As the number of ACEs increases, so does the risk for negative health outcomes

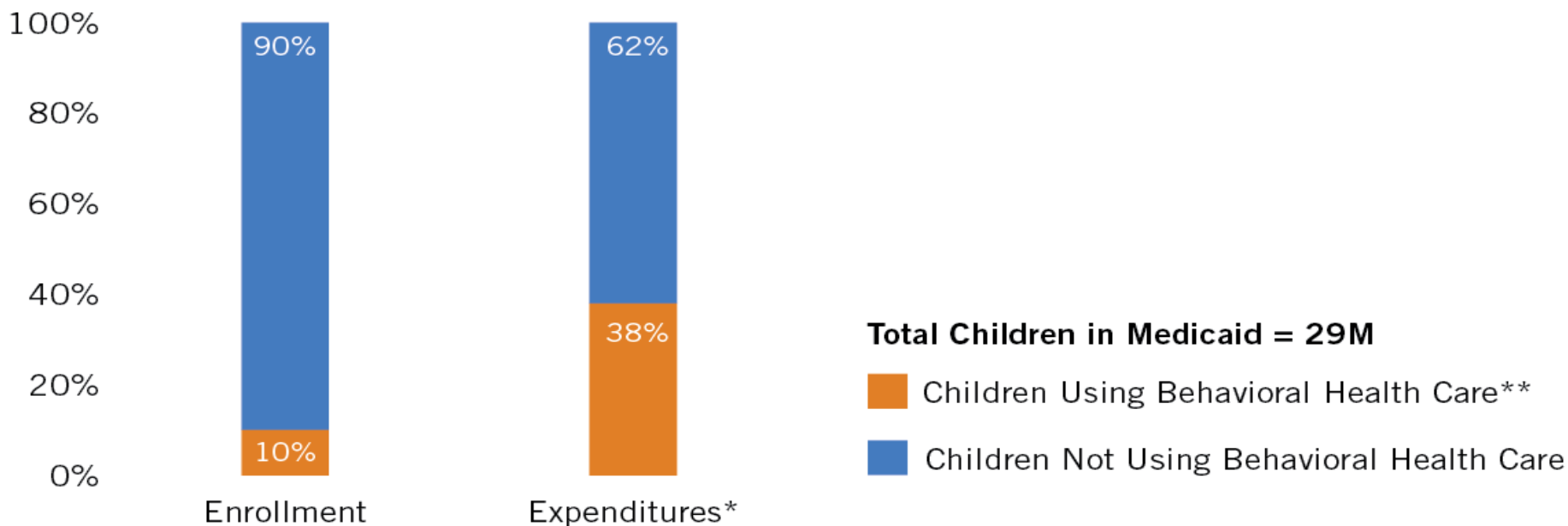


Possible Risk Outcomes:

BEHAVIOR				
 Lack of physical activity	 Smoking	 Alcoholism	 Drug use	 Missed work
PHYSICAL & MENTAL HEALTH				
 Severe obesity	 Diabetes	 Depression	 Suicide attempts	 STDs
 Heart disease	 Cancer	 Stroke	 COPD	 Broken bones

# Mental Health Disorders are the MOST Expensive Conditions in Childhood

## CHILDREN USING BEHAVIORAL HEALTH CARE AS A PROPORTION OF TOTAL MEDICAID ENROLLMENT AND EXPENDITURES



\* Total combined expenditures for all children in Medicaid in 2005 from: Centers for Medicare & Medicaid Services, Center for Medicaid and State Operations: Statistical Report on Medical Care: Eligibles, Recipients, Payments, and Services (HCFA 2082), Medicaid and Statistical Information System. 2008 Statistical Supplement.

\*\* Children using behavioral health care in 2005, N= 2,787,919.

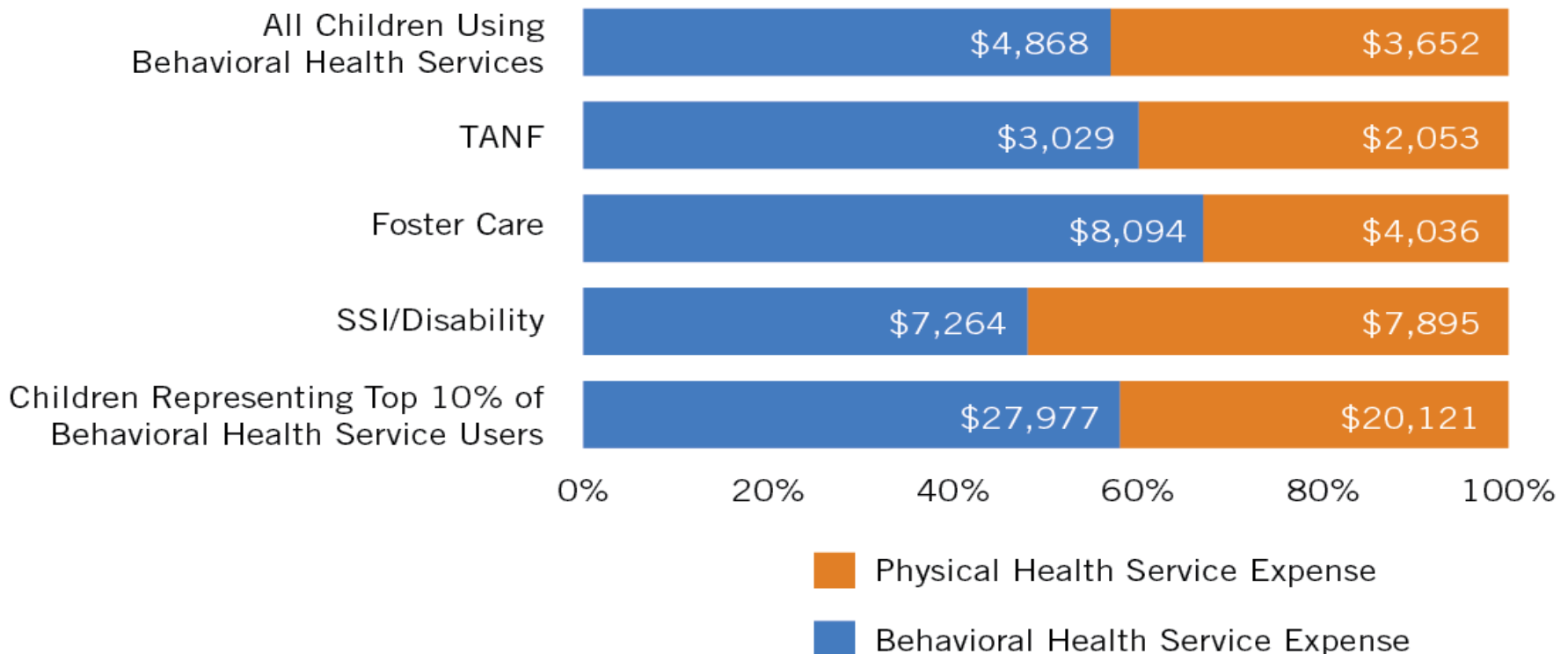
© Center for Health Care Strategies 2013

Source: S. Pires, K. Grimes, T. Gilmer, K. Allen & R. Mahadevan. "Faces of Medicaid: Examining Children's Behavioral Health Service Utilization and Expenditures." Center for Health Care Strategies, December 2013.



# Behavioral Health Accounts for Significant Expenditures Even Among Children With Chronic Physical Conditions

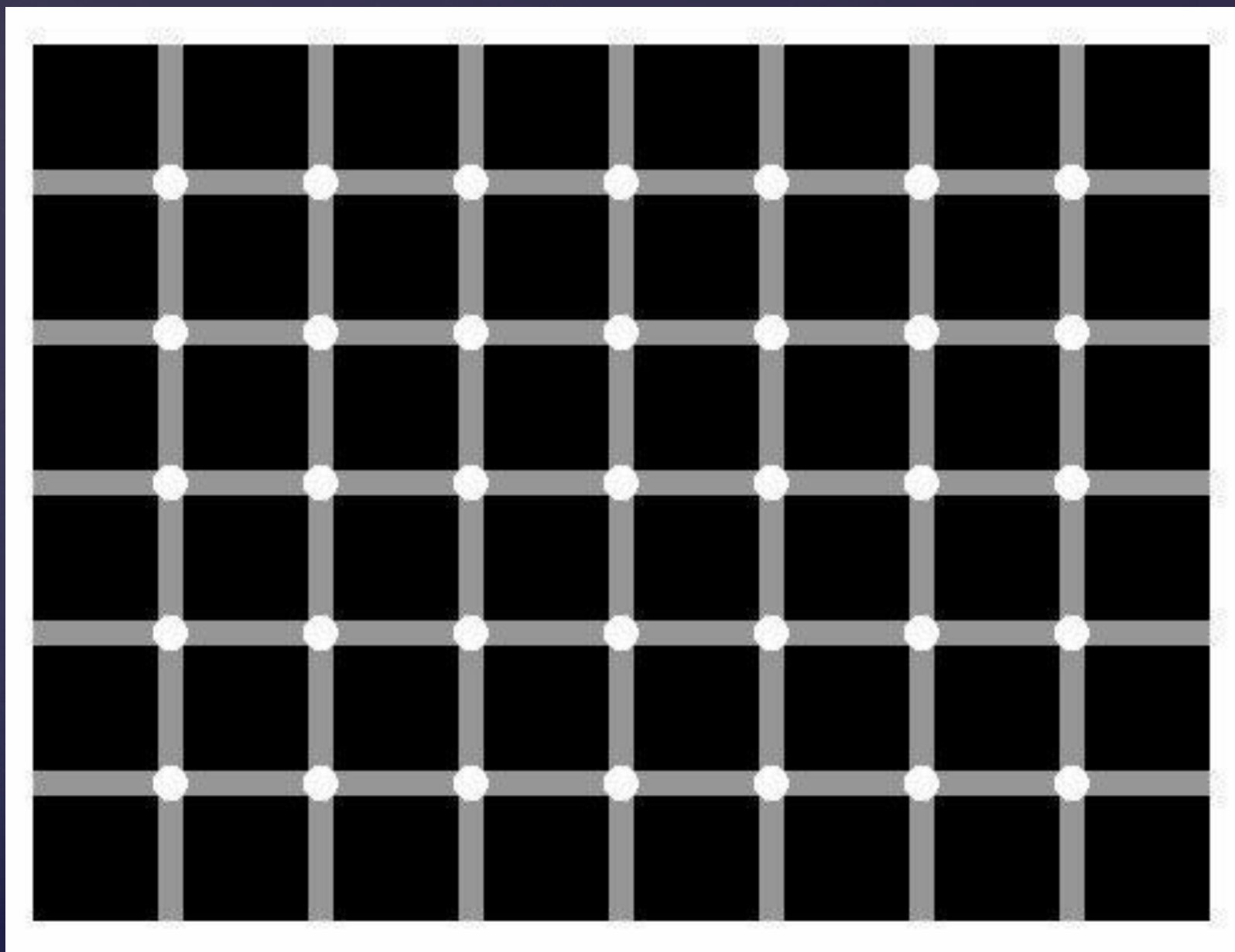
## MEAN ANNUAL EXPENSE FOR CHILDREN IN MEDICAID USING BEHAVIORAL HEALTH SERVICES\*

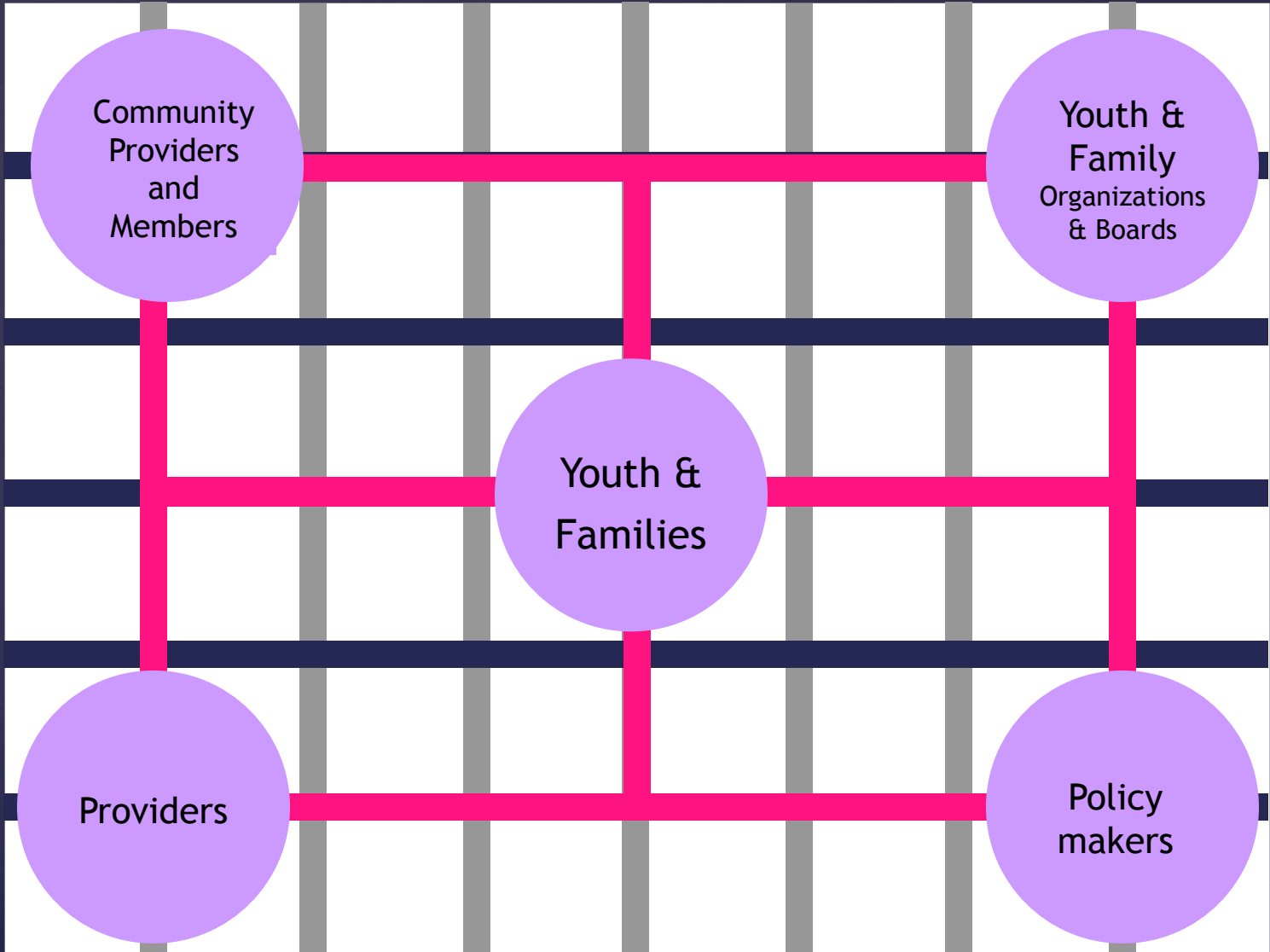


\* Includes children with at least one claim for a behavioral health service in 2005 with or without concomitant psychotropic medication use, N = 1,213,201.

© Center for Health Care Strategies 2013

Source: S. Pires, K. Grimes, T. Gilmer, K. Allen, & R. Mahadevan. "Faces of Medicaid: Examining Children's Behavioral Health Service Utilization and Expenditures." Center for Health Care Strategies, December 2013.





# Gary has a fever...



And the only prescription is  
more systems of care

# A System of Care (SOC) is...

A spectrum of effective, community-based services and supports for children and youth with or at-risk for mental health or other challenges and their families...

...is organized into coordinated networks;

...builds meaningful **partnerships** with families and youth;

...addresses cultural and linguistic needs

...in order to help families function better at home, in school, in the community, and throughout life.



*System of care is, first and foremost, a set of values and principles that provides an organizing framework for systems reform on behalf of children, youth and families.*

Stroul, B. 2005. Georgetown University. Washington, D.C.



**Fundamental challenge and rationale for building systems of care:  
No one system controls everything. Every system controls something.**

Pires, S. 2004. Human Service Collaborative. Washington, D.C.

# Transformation Equation:

$$T = (V + B + A) \times (CQI)^2$$

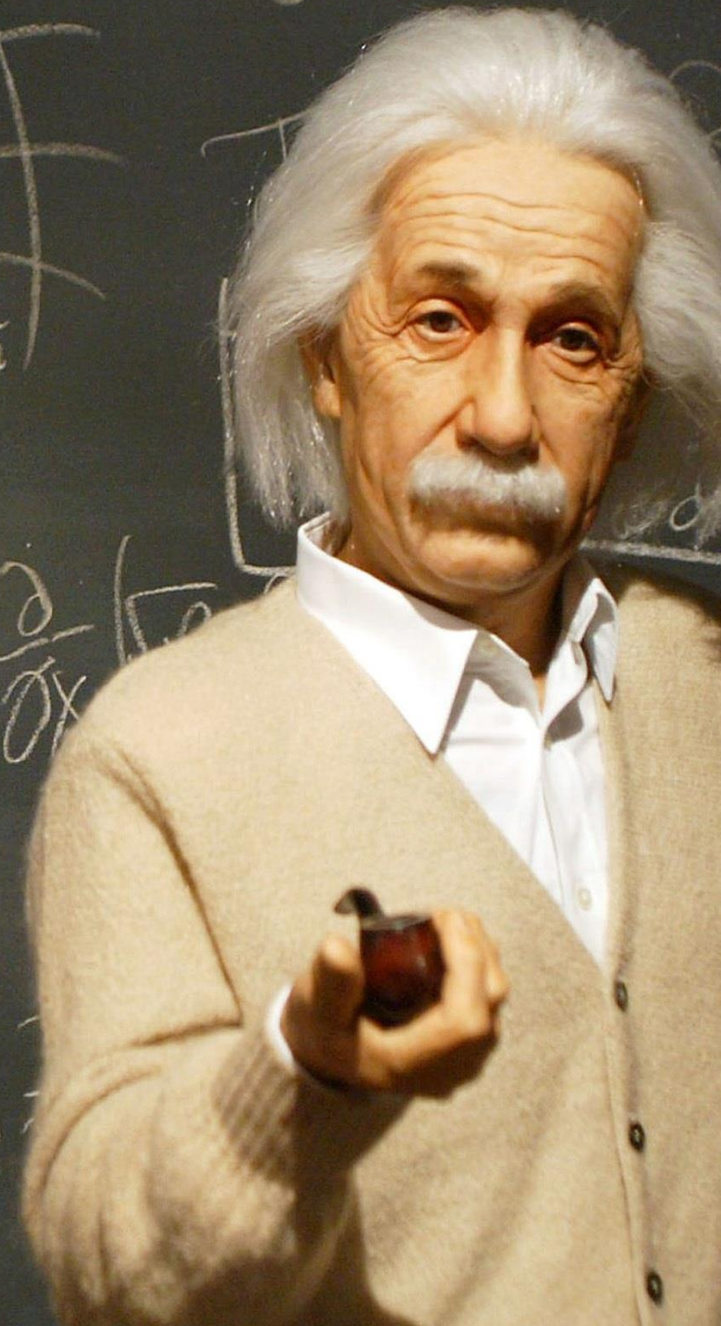
*Family Driven*

*Youth Guided*

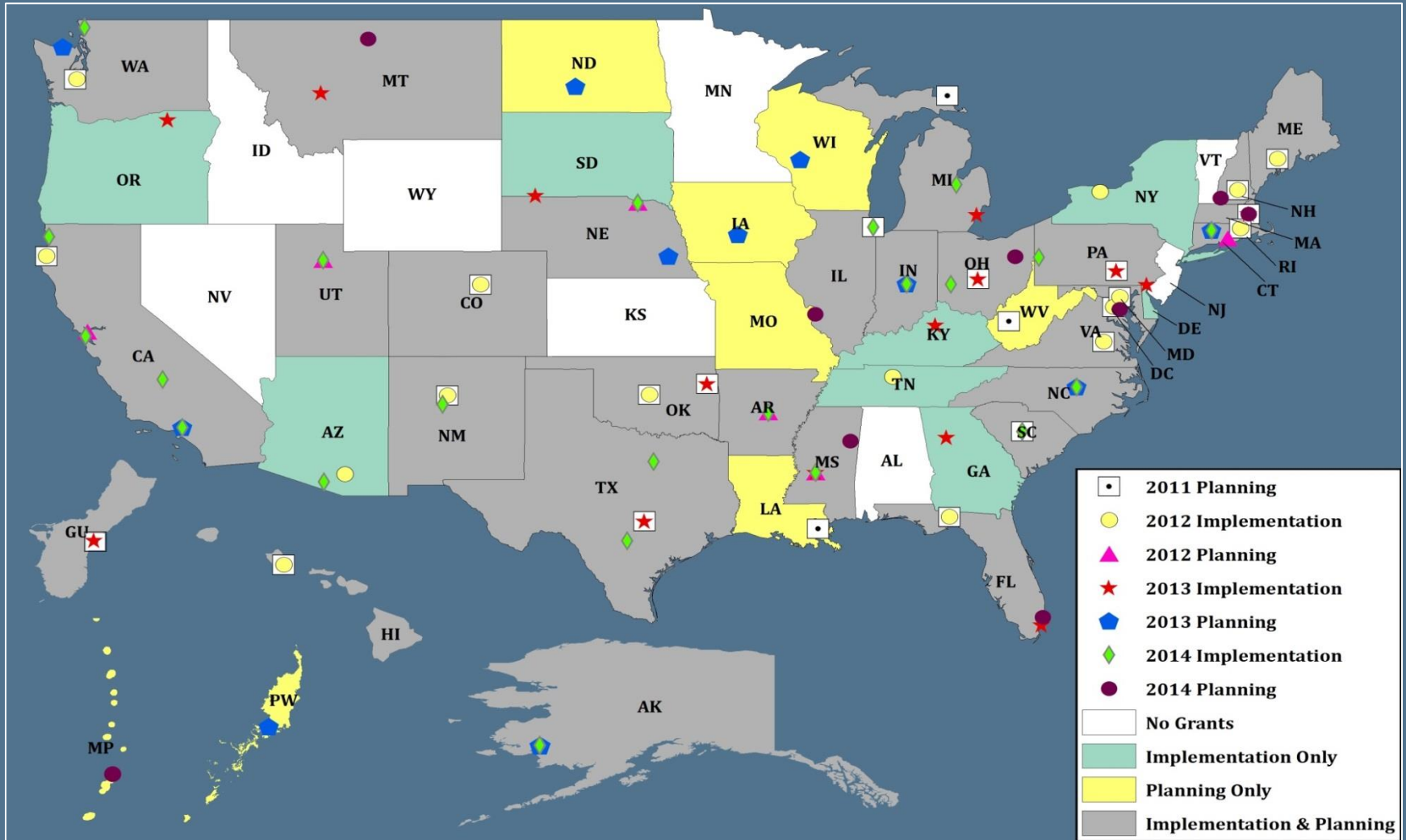
*Cultural & Linguistic Competence*

*Evidence Based Practices & Clinical  
Excellence*

*Continuous Quality Improvement*



# Children's Mental Health Initiative (CMHI) Expansion Planning & Implementation Awards (2011-2014)







**What do the data  
say?**

# Shared Goals



*At home, in school, out of trouble*

# Now kick back and enjoy some data highlights

*Courtesy of ICF International*



# National evaluation of Children's Mental Health Initiative (CMHI)

- SAMHSA-funded initiative
- 106 sites initially funded from 2002 to 2010
- More than 125,000 children and youth have received services
- Data collected between October 2003 and December 2014 on outcomes of children and youth receiving SOC services



# National Evaluation of Systems of Care

# Methods

- Caregivers interviewed within 30 days of their child's first service event (and every 6 months)
- Youth aged 11 years and older were also interviewed at intake (and every 6 months)



- Only data from intake interviews used in these analyses
- Measures include
  - Child Behavior Checklist (CBCL)
  - Columbia Impairment Scale (CIS)

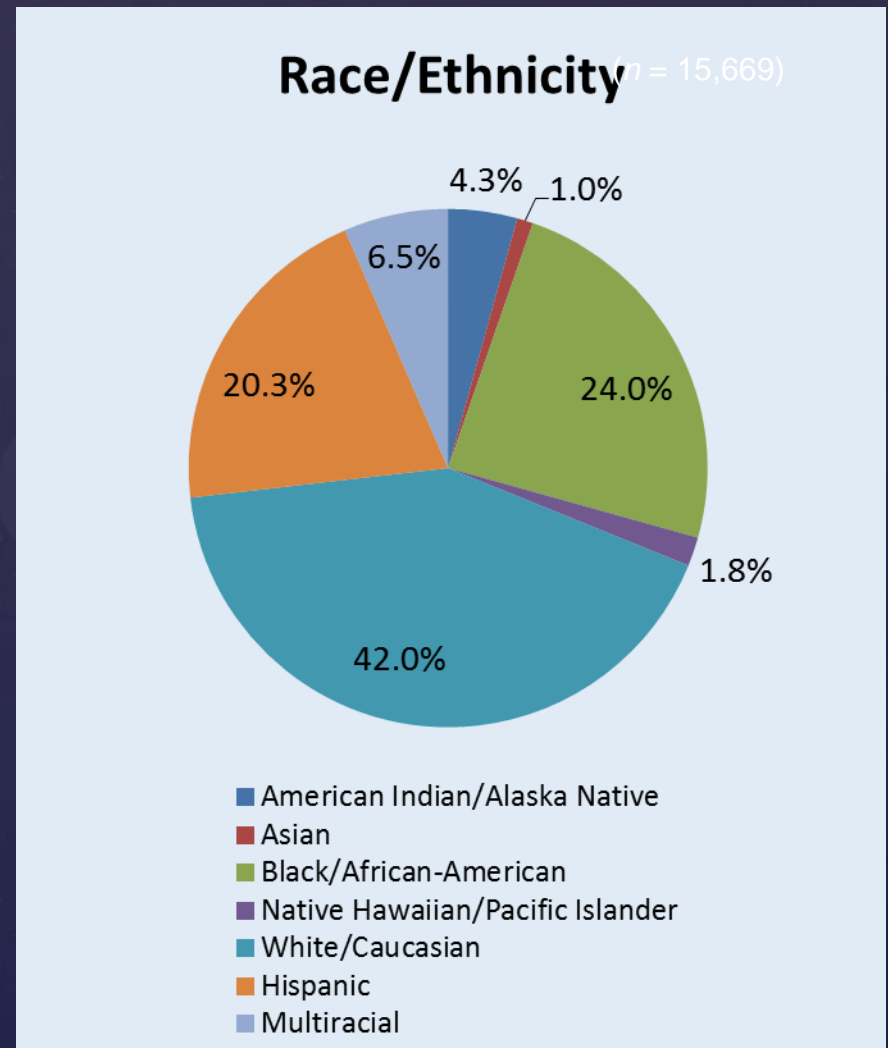
# Analysis Strategy

- Mixed Linear Modeling
  - Modeling symptoms levels at intake
    - Symptoms are centered around the grand mean
- Fixed effect predictors include race/ethnicity, gender, age (linear and quadratic), and referral source
- Year of funding nested within the grant cohort
  - 1st, 2nd, 3rd, etc. year of funding within grant cycle



# Demographics of Study Participants, Grantees Initially Funded 2002-2010

Gender ( <i>n</i> = 15,793)	Percentage
Male	62.5%
Female	37.5%
Poverty Status ( <i>n</i> = 13,314)	Percentage
Below Poverty	58.8%
At/Near Poverty	15.2%
Well Above Poverty	26.0%
Age ( <i>n</i> = 15,785)	
Mean Age	11.20 ( <i>SD</i> = 5.0)



# Most Common Diagnoses of Children Served by Grantees Initially Funded 2002-2010

Diagnosis ( <i>n</i> = 13,560)	Percentage*
Mood Disorders	37.5%
Attention-Deficit/Hyperactivity Disorder	35.8%
Oppositional Defiant Disorder	22.8%
Adjustment Disorders	13.6%
Anxiety Disorders	9.3%
PTSD/Acute Stress Disorder	8.9%
Disruptive Behavior Disorder	8.3%
Substance Use Disorders	7.6%

Diagnoses based on *DSM-IV* criteria.

\*Because children may have more than one diagnosis, percentages for diagnoses may sum to more than 100%.





- Improvement in behavioral & emotional symptoms
- Fewer internalizing and externalizing symptoms
- Improvements in levels of clinical impairment
- Fewer suicidal thoughts & attempts

# # 1

Enrollment in a SOC resulted in  
**significantly improved  
clinical outcomes**

# #2

After enrollment in a SOC, youth were  
**less likely to be  
arrested**



# #3

After enrollment in a SOC, children were **less likely to visit an emergency room**





- Higher rates of educational achievement
- Improved school attendance
- Fewer suspensions & expulsions

# #4

Enrollment in a SOC resulted in  
**improved educational  
outcomes**

# Significantly Improved Outcomes in Systems of Care

- Improved emotional/behavioral functioning
- Reduced high risk behaviors
- Enhanced family functioning
- Expanded and improved service delivery system
- Increased placement stability



And *substantial*  
cost-savings!



# *Cost savings are realized as a result of...*

- Fewer out-of-home placements/diversion from higher levels of care
- Fewer ER visits
- Better school-related outcomes
- Fewer arrests
- Greater capacity for caregivers to work

## Return on Investment in Systems of Care

for Children With Behavioral  
Health Challenges

Beth A. Stroul, M.Ed., Sheila A. Pires, M.P.A., Simone Boyce, Ph.D.,  
Anyia Krivelyova, M.A., and Christine Walrath, Ph.D.

PUBLISHED BY:



APRIL 2014

Let's take a closer look at some systems of care approaches...





# Your Blueprint for Systems of Care

## Structuring

- Collaboration
- Leadership
- Governance
- Managing Change
- Strategic Planning

## Building

- Interagency Partnerships & Coordination
- Services & Supports
- Evidence-Based Practices

Want to learn more? Visit <http://www.tapartnership.org/SOC/SOCimplementing.php>  
Or download *Building Systems of Care: A Primer (2<sup>nd</sup> Edition)* by Sheila A. Pires



## *Joint CMCS and SAMHSA Informational Bulletin*

**DATE:** May 7, 2013

**FROM:** Cindy Mann, Director  
Center for Medicaid and CHIP Services

Pamela S. Hyde, J.D., Administrator  
Substance Abuse and Mental Health Services Administration

**SUBJECT:** Coverage of Behavioral Health Services for Children, Youth, and Young Adults with Significant Mental Health Conditions

May 2013 CMS & SAMHSA Joint Bulletin:  
<http://medicaid.gov/Federal-Policy-Guidance/Downloads/CIB-05-07-2013.pdf>



## *Joint CMCS and SAMHSA Informational Bulletin*

**DATE:** May 7, 2013

**FROM:** Cindy Mann, Director  
Center for Medicaid and CHIP  
Pamela S. Hyde, J.D., Administrator  
Substance Abuse and Mental Health Services Administration

“The Wraparound approach is a form of intensive care coordination for children with significant mental health conditions. It is a team-based, collaborative process for developing individualized care plans for children and youth with complex needs and their families...Information about wraparound can be found on the website of the National Wraparound Initiative...”

**SUBJECT:** Coverage of Behavioral Health Services for Children, Youth, and Young Adults with Significant Mental Health Conditions

# Wraparound: Moving from practice-based evidence to research-informed practice

1990: Burchard et al, publish 1<sup>st</sup> research article on Wraparound

1996-1998: 1<sup>st</sup> controlled studies completed (Rusty Clarke et al & Mary Evans et al)

1999: 1<sup>st</sup> attempt to define principles of Wraparound & showed detailed examples of practice (Barbara Burns & Sybil Goldman)

2003: NWI convenes to define practice model & identify necessary supports for implementation

2009: 7 controlled studies included in first-ever meta-analysis (Suter & Bruns, 2009)

2012: 10 controlled studies in peer reviewed publications; Wraparound listed as a research-based practice by WSIPP & others

2014: Comprehensive literature review conducted by Quick et al finds 200 articles have been published on Wraparound

**"WRAPAROUND...**



**WORTH DOING AND  
WORTH DOING WELL."**

-Eric Bruns, Ph.D.

Director, UW Wraparound Evaluation and Research Team

# Introducing NWIC: The National Wraparound Implementation Center!

- A partnership among the 3 leading universities involved with Wraparound implementation:
  - The University of Washington, School of Medicine, Department of Psychiatry and Behavioral Sciences;
  - Portland State University, School of Social Work; and
  - The University of Maryland, Baltimore, School of Social Work,
- Grounded in implementation science
- Works with sites at any stage of Wraparound implementation;
- Collaboratively designs a comprehensive implementation support plan;
- Accesses in-person and technology-enabled strategies



National  
Wraparound  
Implementation  
Center

**CMS Guidance:** [www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Benefits/Downloads/Clarifying-Guidance-Support-Policy.pdf](http://www.medicaid.gov/Medicaid-CHIP-Program-Information/By-Topics/Benefits/Downloads/Clarifying-Guidance-Support-Policy.pdf)



## *Joint CMCS and SAMHSA Informational Bulletin*

**DATE:** May 7, 2013

**FROM:** Cindy Mann, Dir  
Center for Medic

Pamela S. Hyde,  
Substance Abuse

*“Peer Services: Parent and Youth Support Services*  
Parent and youth support services include developing and linking with formal and informal supports; instilling confidence; assisting in the development of goals; serving as an advocate, mentor, or facilitator for resolution of issues; and teaching skills necessary to improve coping abilities.”

**SUBJECT:** Coverage of Behavioral Health Services for Children, Youth, and Young Adults with Significant Mental Health Conditions

# Parent Peer Support Partners (PSPs):

Bring shared feelings,  
history, connection and  
common experience.

Assist and support family  
members to navigate  
through multiple agencies  
and systems through mutual  
learning that comes from  
common lived experience.

Assist the family in reducing  
isolation and stigma related  
to emotional, behavioral  
and mental health  
disorders.

Work with the family to  
reinforce hope and build  
confidence about their  
ability to manage life  
without formal support.



# Parent Support Makes a Difference

➤ School-based parent support programs have been shown to help families with a child with an emotional disorder to:

- Access needed mental health services
- Increase family empowerment
- Increase school attendance and improve reading scores

*(Kutash, Duchnowski, Green & Ferron, 2010)*

➤ When compared to clinician-led family support programs, family-led family support programs are more likely to:

- Be affiliated with national or local family-run organizations and
- Address caregiver isolation and to provide information and education.

*(Hoagwood, Cavaleri, Olin, Burns, Slaton, Gruttadaro & Hughes, 2010)*

# Youth Engagement and Leadership

Promoting Youth  
Involvement  
in Systems of  
Care



# YOUTH MOVE NATIONAL

- **81 chapters throughout the country!**
  - Representing 37 total states, DC and 3 tribes
  - Engaging over 9,000 young people
- **Recent accomplishments include:**
  - National Young Leader's Network
  - Replicating the *What Helps What Harms* policy initiative on a national scale
  - Establishing the National Commission on YPS with SAMHSA funding
  - Contributing to 4 SAMHSA publications

[www.youthmovenational.org](http://www.youthmovenational.org)

# Early Onset Psychosis

## *5% Mental Health Block Grant Set-Aside to Support EBPs*

- “The majority of individuals with severe mental illness experience their first symptoms during adolescence in early adulthood.”
- Collaborative effort between SAMHSA and NIMH
- Evidence-Based Treatments for First Episode Psychosis: Components of Coordinated Specialty Care



MENTAL  
HEALTH  
FIRST AID



# NOW IS THE TIME

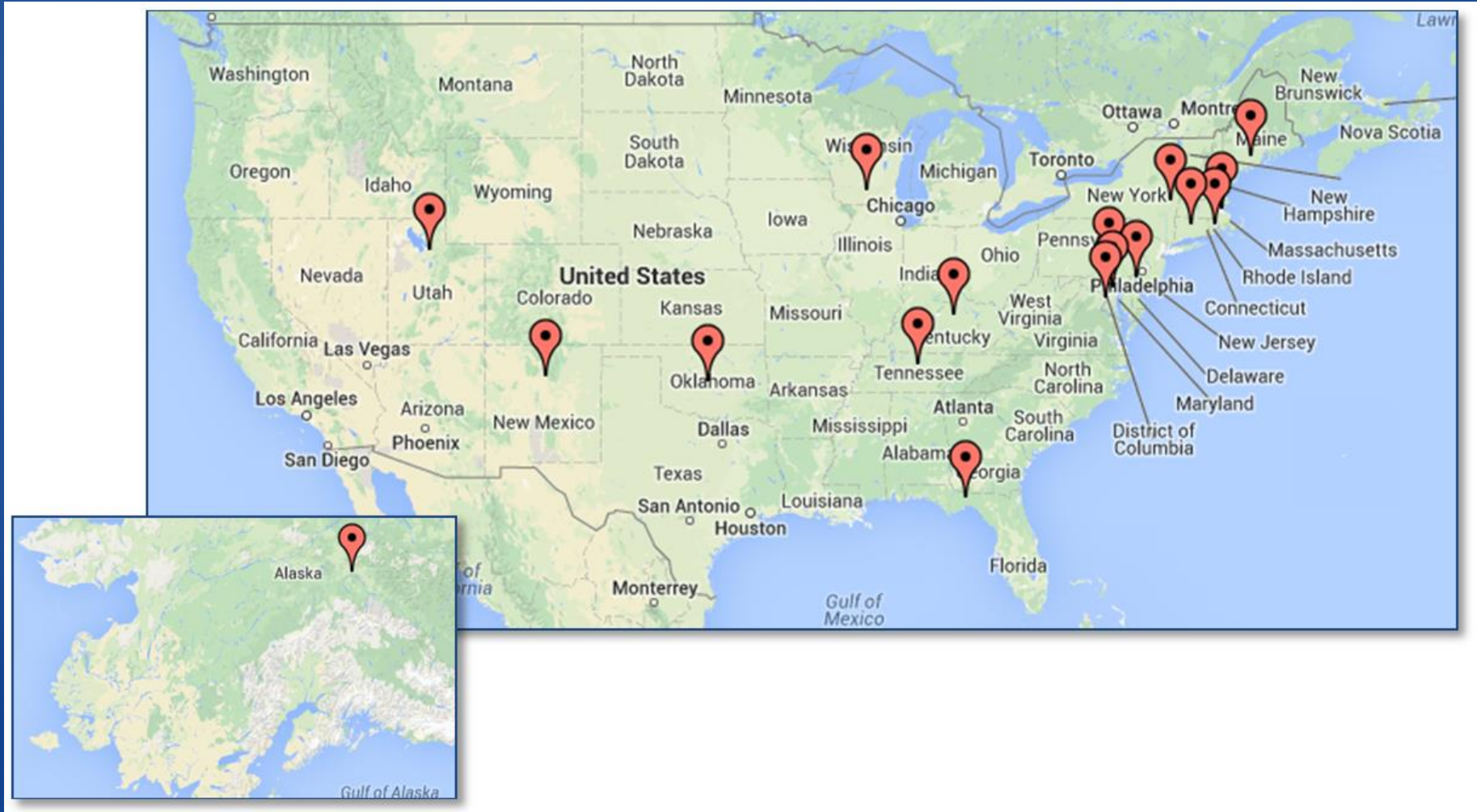
The President's plan to protect our children  
and our communities by reducing gun violence



[WH.GOV/NOW-IS-THE-TIME](http://WH.GOV/NOW-IS-THE-TIME)

JANUARY  
SIXTEENTH  
TWO THOUSAND AND THIRTEEN

# FY2014 Healthy Transitions Grantees



# 3 Steps to Transforming Lives



1

**Be on the lookout for  
new opportunities**





# #ACA IS WORKING

**Millions of young adults  
have gotten covered on  
their parent's plan, because  
the law says they can now do  
so until they turn 26.**



***Check Out Our PSAs!***



# Follow me on Twitter!

Home Notifications Messages Discover



Search Twitter



## @GaryBlauPhD

4 Lists

TWEETS 768 FOLLOWING 326 FOLLOWERS 791 FAVORITES 15 LISTS 4

**Gary Blau**

@GaryBlauPhD

Branch Chief, Child, Adolescent & Family Branch of Center for Mental Health Services at the Substance Abuse and Mental Health Services Administration (SAMHSA)

[samhsa.gov/children](http://samhsa.gov/children)

Joined July 2012

Tweet to Gary Blau

Tweets Tweets & replies Photos & videos



Gary Blau @GaryBlauPhD · 3h

#Funding #Grant Opp from @SAMHSAgov: #Minority Serving Institutions Partnerships w/Community-Based Orgs, due May 26: [1.usa.gov/1CuUxke](http://1.usa.gov/1CuUxke)

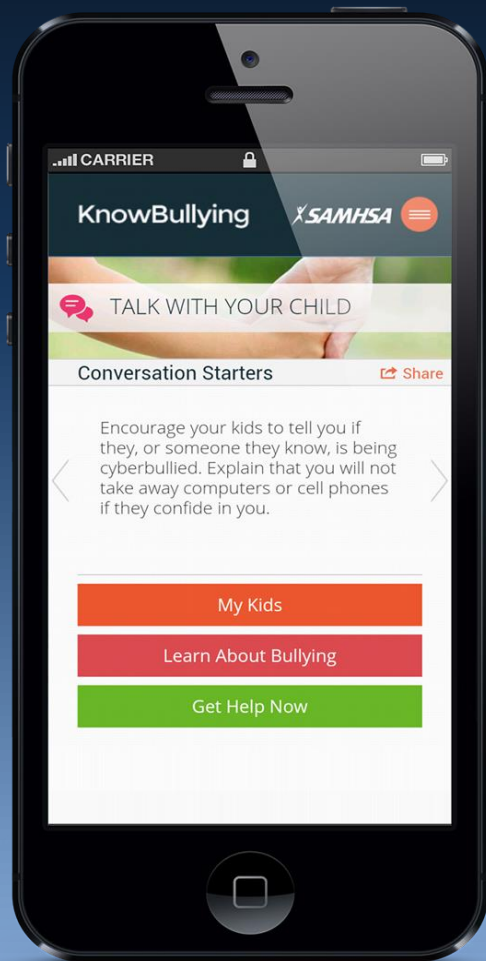
Retweet Like 1 More



Gary Blau @GaryBlauPhD · 21h

Read @NIMHgov Director Dr. Insel's blog on research targeting #suicide

# Want to help young adults with behavioral health needs? There's an app for that!



Coming soon....

**Suicide Safe:**  
*The Suicide  
Prevention App for  
Behavioral Health  
and Primary Care  
Professionals*

## Updated Youth Topic: LGBT Youth

Addressing LGBT-related stigma, discrimination, and violence; building on the strengths of LGBT youth; and fostering supports such as family acceptance and safe, affirming environments in schools and other settings will help improve outcomes for LGBT young people. See our updated youth topic on LGBT youth.

[Click for the full story](#)



[Previous](#) [Pause](#) [Next](#)

**READ THE PLAN & SHARE YOUR IDEAS**

### Strategic Plan for Youth

**What do you think?**

What is the biggest opportunity to move Pathways for Youth forward?

## Youth Topics

Through the Youth Topics series, the Interagency Working Group on Youth Programs provides information, strategies, tools, and resources for youth, families, schools and community organizations related to a variety of cross-cutting topics that affect youth.

Choose a topic:



## Stay Connected

Subscribe to our newsletter for information on funding, events, publications, and more.

Email:

See us on: [YouTube](#) [LinkedIn](#)

# Don't miss KSOC-TV!



View all of the KSOC-TV webisodes on the SAMHSA  
YouTube channel or by going to [www.samhsa.gov/children](http://www.samhsa.gov/children)

# **THE *TA* NETWORK**

the technical assistance network for children's behavioral health

## **Introducing Our TA Partners** *For All Your Systems of Care Needs*



**National Technical Assistance Center  
for Children's Mental Health**





# 2 Use the buddy system when working on systems change...



# Link with System Partners

CHILD  
WELFARE

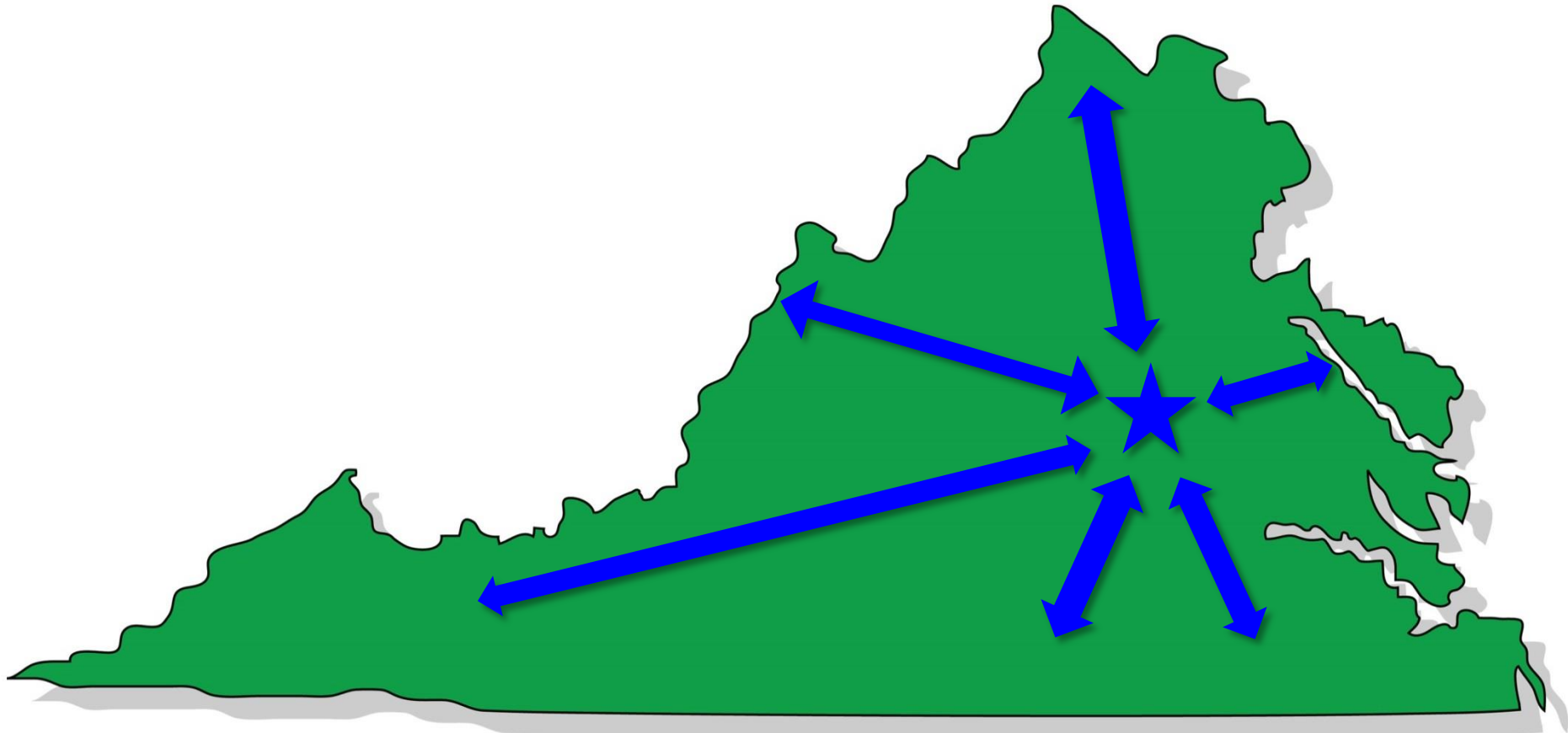
BEHAVIORAL  
HEALTH

PRIMARY  
CARE

JUVENILE  
JUSTICE

EDUCATION

# Partnerships At All Levels



# 3

# Be a Champion





**NATIONAL CHILDREN'S  
MENTAL HEALTH AWARENESS DAY**

[www.samhsa.gov/children](http://www.samhsa.gov/children)



**Check out the 2014  
Children's Mental Health  
Awareness Day Webcast at**

<http://www.samhsa.gov/children/national.asp>

**Save the Date: May 7, 2015**

# *Prioritize being a Supporter of Child, Youth & Family Mental Health!*



We would not want you to leave with prehistoric ideas...

Don't be a dinosaur!!



However, if you were a dinosaur.....





You would be an  
**AWESOMEASAURUS**





We want you  
to spread the  
word about  
systems of  
care!



Now get  
excited...You  
have an **AMAZING**  
conference  
ahead of you!

Get  
Busy...



...And Get  
to Work!





*This has been the highlight of my day*

